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## Discrete Applied Mathematics

journal homepage: [www.elsevier.com/locate/dam](http://www.elsevier.com/locate/dam)Linear coloring of sparse graphs<sup>☆</sup>Yingqian Wang<sup>\*</sup>, Qian Wu

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## ABSTRACT

A linear  $k$ -coloring of a graph  $G$  is a proper  $k$ -coloring of  $G$  such that the graph induced by the vertices of any two color classes is a union of vertex-disjoint paths. The linear chromatic number  $lc(G)$  of a graph  $G$  is the smallest number  $k$  such that  $G$  has a linear  $k$ -coloring. In this paper, we prove that if  $G$  is a graph with maximum degree  $\Delta$  and maximum average degree  $mad(G) < \frac{14}{5}$ , then  $lc(G) \leq \lceil \frac{\Delta}{2} \rceil + 2$ . In particular,  $lc(G) \leq \lceil \frac{\Delta}{2} \rceil + 2$  if  $G$  is a planar graph with maximum degree  $\Delta$  and girth  $g(G) \geq 7$ . This improves some known results in this direction, and further supports a conjecture recently proposed by D. Cranston and G. Yu, which states that  $lc(G) \leq \lceil \frac{\Delta}{2} \rceil + 2$  for every graph  $G$  with  $mad(G) < 3$ .

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## 1. Introduction

All graphs considered in this paper are finite, simple and undirected. A planar graph is a graph that can be drawn on the Euclidean plane so that its edges only meet at their ends. Any such particular drawing of a planar graph is called a plane graph. For a graph  $G$ , we use  $V(G)$ ,  $E(G)$ ,  $|G|$  and  $\Delta(G)$  to denote its vertex set, edge set, order and maximum degree, respectively. For a vertex  $v \in V(G)$ , let  $N_G(v)$  and  $d_G(v)$  (or simply  $d(v)$ ) denote the set of neighbors and the degree of  $v$  in  $G$ , respectively. A  $k$  ( $k^-$  or  $k^+$ )-vertex is a vertex of degree  $k$  (at most  $k$  or at least  $k$ , resp).

A linear  $k$ -coloring of a graph  $G$  is a proper  $k$ -coloring of  $G$  such that the graph induced by the vertices of any two color classes is a union of vertex-disjoint paths. The linear chromatic number  $lc(G)$  of a graph  $G$  is the smallest number  $k$  such that  $G$  has a linear  $k$ -coloring. The maximum average degree of a graph  $G$  is defined to be  $mad(G) = \max \left\{ \frac{2|E(H)|}{|V(H)|} : H \subseteq G \right\}$ . The girth of a graph  $G$ , denoted  $g = g(G)$ , is the length of a shortest cycle of  $G$ . The following proposition can be easily derived from Euler's formula.

**Proposition 1.** If  $G$  is a planar graph with girth  $g$ , then  $mad(G) < \frac{2g}{g-2}$ .

The concept of linear coloring was first introduced by Yuster [5], who constructed an infinite family of graphs such that  $lc(G) \geq C_1 \Delta(G)^{\frac{3}{2}}$  for some constant  $C_1$ , and also proved  $lc(G) \leq C_2 \Delta(G)^{\frac{3}{2}}$  for some constant  $C_2$  and sufficient large  $\Delta(G)$ .

Clearly,  $lc(G) \geq \lceil \frac{\Delta}{2} \rceil + 1$ . It is interesting to notice that a number of authors proved that a lot of sparse graphs (with  $mad(G) < 3$ ) attain this trivial lower bound. We would like to list the latest results in this direction as follows.

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**Theorem A.** Let  $G$  be a graph. Then  $lc(G) = \left\lceil \frac{\Delta(G)}{2} \right\rceil + 1$  if:

- (1)  $G$  is a tree [3];
- (2)  $G$  can be embedded into a surface of nonnegative characteristic, and  $\Delta \geq 13, g \geq 7$ , or  $\Delta \geq 9, g \geq 8$ , or  $\Delta \geq 7, g \geq 9$ , or  $\Delta \geq 5, g \geq 10$ , or  $\Delta \geq 3, g \geq 13$  [4];
- (3)  $mad(G) < \frac{12}{5}$  and  $\Delta(G) \geq 3$  (list version) [1].

On the other hand, as shown by a number of authors, the upper bound  $C_2 \Delta(G)^{\frac{3}{2}}$  for  $lc(G)$  given by Yuster can be decreased nearly to the trivial lower bound for sparse graphs. Recently, Cranston and Yu conjecture that  $lc(G) \leq \left\lceil \frac{\Delta}{2} \right\rceil + 2$  for every graph  $G$  with  $mad(G) < 3$ . Some known evidences supporting this conjecture are given below.

**Theorem B.** Let  $G$  be a graph:

- (1) If  $G$  is planar and  $g(G) \geq 8$ , then  $lc(G) \leq \left\lceil \frac{\Delta}{2} \right\rceil + 2$  [2].
- (2) If  $G$  is planar and  $g(G) \geq 6$ , then  $lc(G) \leq \left\lceil \frac{\Delta}{2} \right\rceil + 3$  [2].
- (3) If  $G$  is planar and  $g(G) \geq 5$ , then  $lc(G) \leq \left\lceil \frac{\Delta}{2} \right\rceil + 4$  (list version) [1].
- (4) If  $mad(G) < 3$  and  $\Delta(G) \geq 9$ , then  $lc(G) \leq \left\lceil \frac{\Delta}{2} \right\rceil + 2$  (list version) [1].

In this paper we give a new evidence supporting the conjecture of Cranston and Yu.

**Theorem 1.** If  $G$  is a graph with  $mad(G) < \frac{14}{5}$ , then  $lc(G) \leq \left\lceil \frac{\Delta(G)}{2} \right\rceil + 2$ .

Applying Proposition 1, one can easily get the following corollary from Theorem 1.

**Corollary 1.** If  $G$  is a planar graph with  $g(G) \geq 7$ , then  $lc(G) \leq \left\lceil \frac{\Delta(G)}{2} \right\rceil + 2$ .

The proof of Theorem 1 consists of two parts. Namely, we shall prove Theorem 1 for graphs with  $\Delta(G) \geq 5$  in Section 2, and  $\Delta(G) \leq 4$  in Section 3.

## 2. Graphs with $\Delta \geq 5$ and $mad(G) < \frac{14}{5}$

Call a 3-vertex *good* if it has no 2-neighbor; *non-good* otherwise.

Let  $H$  be a proper subgraph of  $G$ . A linear coloring of  $H$  using colors from a color set  $C = \{1, 2, \dots, k\}$  is called a *partial linear  $k$ -coloring* of  $G$ . In a partial linear  $k$ -coloring of  $G$ , we define  $C_2(v)$  to be the subset of  $C$ , in which, every color appears exactly twice on the neighborhood  $N_H(v)$  of a vertex  $v$ . Here and later, a color set means a *multi-set* of colors, i.e. a color may appear more than once. Hence we often say that some color appears in a color set exactly twice, at least twice, etc. Let  $x$  be a 2-vertex with neighbors  $y$  and  $z$ . We define  $D(x)$  to be the subset of  $C$ , in which, every color appears at least twice on  $N_H(y) \cup N_H(z)$  when  $H = G - x$ .

**Theorem 2.1.** Let  $M \geq 5$  be a positive integer and  $G$  a graph with  $\Delta(G) \leq M$ . If  $mad(G) < \frac{14}{5}$ , then  $lc(G) \leq \left\lceil \frac{M}{2} \right\rceil + 2$ .

**Proof.** Suppose  $G$  is a counterexample to Theorem 2.1 with the fewest vertices. Let  $H$  be a proper subgraph of  $G$ . Clearly,  $\Delta(H) \leq M$  and  $mad(H) < \frac{14}{5}$ . By the choice of  $G$ ,  $lc(H) \leq \left\lceil \frac{M}{2} \right\rceil + 2$ , while  $lc(G) \geq \left\lceil \frac{M}{2} \right\rceil + 3$ . As observed in earlier papers [2],  $G$  is connected and has neither 1-vertex nor adjacent 2-vertices.

From now on, let  $c$  be a partial linear coloring of  $G$  using colors from  $C = \{1, 2, \dots, \left\lceil \frac{M}{2} \right\rceil + 2\}$ . Note that  $|C| \geq 5$  since  $M \geq 5$ .  $\square$

**Claim 2.1.** Every 2-vertex  $x$  has at most one  $4^-$ -neighbor in  $G$ .

Suppose to the contrary that  $x$  has two  $4^-$ -neighbors  $y$  and  $z$ . Without loss of generality, we may assume that both  $y$  and  $z$  are 4-vertices. Let  $y_1, y_2$  and  $y_3$  be the neighbors of  $y$  and  $z_1, z_2, z_3$  the neighbors of  $z$  other than  $x$ . By the choice of  $G$ ,  $H = G - x$  has a linear coloring  $c$  using colors from  $C$ . If we can show that the linear coloring  $c$  on  $H$  can be extended to  $G$ , then we get a contradiction proving Claim 2.1. We first consider the case  $c(y) = c(z)$ . In this case, we could choose a color from  $C \setminus (\{c(y)\} \cup D(x))$  to color  $x$  (since  $|C| \geq 5$ ), yielding a linear coloring of  $G$  using colors from  $C$ . We next consider the case  $c(y) \neq c(z)$ . In this case, we could choose a color from  $C \setminus (\{c(y), c(z)\} \cup C_2(y) \cup C_2(z))$  to color  $x$ , yielding a linear coloring of  $G$  using colors from  $C$ .

Recall that a 2-vertex has no 2-neighbors. Call a 2-vertex *type I*, *type II* if it has a (in fact, exactly one by Claim 2.1) 3-, 4-neighbor, respectively; *type III*, otherwise.

**Claim 2.2.** A 3-vertex has at most one 2-neighbor in  $G$ ; see [2].

**Claim 2.3.** If a 3-vertex  $v$  has a 2-neighbor  $x$ , then at least one of the other two neighbors  $y$  and  $z$  of  $v$  is a  $4^+$ -vertex in  $G$ .

By Claim 2.2, both  $y$  and  $z$  are  $3^+$ -vertices, suppose both  $y$  and  $z$  are 3-vertices. Let  $x'$  be the neighbor of  $x$ ,  $y_1$  and  $y_2$  the neighbors of  $y$ ,  $z_1$  and  $z_2$  the neighbors of  $z$ , other than  $v$ . By the choice of  $G$ ,  $H = G - x$  has a linear coloring  $c$  using colors from  $C$ . If  $c(v) = c(x')$ , then we could choose a color from  $C \setminus (\{c(v)\} \cup D(x))$  to color  $x$ , since  $|D(x) \cup \{c(v)\}| \leq \left\lfloor \frac{d_H(x') + 2}{2} \right\rfloor + 1 = \left\lfloor \frac{M-1+2}{2} \right\rfloor + 1 = \left\lceil \frac{M}{2} \right\rceil + 1 < |C| = \left\lceil \frac{M}{2} \right\rceil + 2$ . So we may assume that  $c(v) \neq c(x')$ . Without loss of generality, let  $c(v) = 1$ ,  $c(x') = 2$ . If  $A = C \setminus (\{1, 2\} \cup C_2(v) \cup C_2(x')) \neq \emptyset$ , we could choose a color from  $A$  to color  $x$ . So  $A = \emptyset$ . It follows that  $C_2(v) \cup C_2(x') = \{3, 4, \dots, \left\lceil \frac{M}{2} \right\rceil + 2\}$ . Without loss of generality, assume that  $c(y) = c(z) = 3$  and  $C_2(x') = \{4, \dots, \left\lceil \frac{M}{2} \right\rceil + 2\}$ . If  $2 \notin \{c(y_1), c(y_2)\} \cup \{c(z_1), c(z_2)\}$ , we then recolor  $v$  with 2 and color  $x$  with 1. Otherwise,  $2 \in \{c(y_1), c(y_2)\}$  or  $2 \in \{c(z_1), c(z_2)\}$ . In this case, there is a color  $\alpha \in \{4, \left\lceil \frac{M}{2} \right\rceil + 2\}$  that appears at most once in  $\{c(y_1), c(y_2), c(z_1), c(z_2)\}$ , hence we could recolor  $v$  with  $\alpha$  and color  $x$  with 1.

We use  $n_2(v)$  to denote the number of 2-neighbors of a vertex  $v$  in  $G$ .

**Claim 2.4.** *If  $v$  is a 5-vertex such that  $n_2(v) = 4$  and all the four 2-neighbors of  $v$  are of type I, then the remaining neighbor of  $v$  is a  $4^+$ -vertex in  $G$ .*

Let  $v_1, \dots, v_4$  be all the 2-neighbors of  $v$  that are of type I (i.e., for  $i = 1, \dots, 4$ , the neighbor of  $v_i$  other than  $v$  is a 3-vertex). Suppose to the contrary that  $v_5$ , the remaining neighbor of  $v$ , is a 3-vertex. Let  $u_i$  ( $i = 1, \dots, 4$ ) be the neighbors of  $v_i$  other than  $v$ . Let  $w_i$  and  $z_i$  be the two neighbors of  $u_i$  other than  $v_i$  for  $i = 1, \dots, 4$ . Let  $w_5$  and  $z_5$  be the neighbors of  $v_5$  other than  $v$ . By the choice of  $G$ ,  $H = G - v_1$  has a linear coloring  $c$  using colors from  $C$ . If  $c(v) = c(u_1)$ , then we could choose a color from  $C \setminus (\{c(v)\} \cup D(v_1))$  to color  $v_1$ . So we may assume  $c(v) \neq c(u_1)$ . Without loss of generality, assume that  $c(v) = 1$ ,  $c(u_1) = 2$ . If  $A = C \setminus (\{1, 2\} \cup C_2(u_1) \cup C_2(v)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_1$ . So  $A = \emptyset$ . It follows that  $|C| = 5$  and  $C_2(u_1) \cup C_2(v) = \{3, 4, 5\}$ . Without loss of generality, we may assume that  $c(w_1) = c(z_1) = 3$ ,  $c(v_2) = c(v_3) = 4$ ,  $c(v_4) = c(v_5) = 5$ . If we can recolor  $v_2$  with 2 or 3, yielding a linear coloring of  $H$ , then we could color  $v_1$  with 4. So either  $c(u_2) = 2$ ,  $c(w_2) = c(z_2) = 3$  or  $c(u_2) = 3$ ,  $c(w_2) = c(z_2) = 2$ . Similarly the same conclusion holds for triple  $(u_4, w_4, z_4)$ . Now if  $c(w_5) = c(z_5) = 2$ , then we recolor  $v_2$  with 1,  $v$  with 3 and then color  $v_1$  with 4, we are done. Otherwise, we could recolor  $v_2$  with 1,  $v$  with 2 and then color  $v_1$  with 4, yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction proving Claim 2.4.

**Claim 2.5.** *If  $v$  is a 5-vertex such that  $n_2(v) = 5$ , then  $v$  has at least four 2-neighbors of type III.*

Let  $v_1, \dots, v_5$  be all the 2-neighbors of  $v$ ,  $u_i$  the neighbor of  $v_i$  other than  $v$  ( $i = 1, \dots, 5$ ). Suppose to the contrary that  $v$  has at most three 2-neighbors of type III. Let  $v_1$  and  $v_2$  be two 2-neighbors of type I or type II. Without loss of generality, we may assume that both  $v_1$  and  $v_2$  are of type II. For  $i = 1, 2$ , let  $w_i, z_i$  and  $t_i$  be the neighbors of  $u_i$  other than  $v_i$ . By the choice of  $G$ ,  $H = G - v_1$  has a linear coloring  $c$  using colors from  $C$ . If  $c(v) = c(u_1)$ , then we could choose a color from  $C \setminus (\{c(v)\} \cup D(v_1))$  to color  $v_1$ , yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume  $c(v) \neq c(u_1)$ , without loss of generality, let  $c(v) = 1$ ,  $c(u_1) = 2$ . If  $A = C \setminus (\{1, 2\} \cup C_2(u_1) \cup C_2(v)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_1$ , yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction. It follows that  $|C| = 5$  and  $C_2(u_1) \cup C_2(v) = \{3, 4, 5\}$ . Without loss of generality, we may assume that  $c(w_1) = c(z_1) = 3$ ,  $c(v_2) = c(v_3) = 4$  and  $c(v_4) = c(v_5) = 5$ . If recoloring  $v_2$  with 2 or 3 yields a linear coloring of  $H$ , then we could color  $v_1$  with 4, yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction. So recoloring  $v_2$  with 2 or 3 yields a coloring of  $H$  that is not proper or proper but not linear. It follows that  $c(u_2) = 2$  and  $\{c(w_2), c(z_2), c(t_2)\} = \{3, 3, \alpha\}$  or  $c(u_2) = 3$  and  $\{c(w_2), c(z_2), c(t_2)\} = \{2, 2, \beta\}$ . We first consider the case  $c(u_2) = 2$  and  $\{c(w_2), c(z_2), c(t_2)\} = \{3, 3, \alpha\}$ . If recoloring  $v_2$  with 1, 3, respectively, yields no  $(3, 5)$ -bicolored cycle, then we could further color  $v_1$  with 4, giving a linear coloring of  $G$  using colors from  $C$ . Otherwise, we may recolor  $v_2, v$  with 1, 2, respectively, yielding a linear coloring of  $H$  using colors from  $C$ . Now if coloring  $v_1$  with 1 produces no  $(2, 1)$ -bicolored cycle, then we are done. Otherwise,  $c(t_1) = 1$ , in this case, we could color  $v_1$  with 4, yielding a linear coloring of  $G$  using colors from  $C$ . As for the case  $c(u_2) = 3$  and  $\{c(w_2), c(z_2), c(t_2)\} = \{2, 2, \beta\}$ , an argument as above can yield the desired conclusion.

**Claim 2.6.** *If  $v$  is a 6-vertex such that  $n_2(v) = 6$ , then  $v$  has at most four 2-neighbors of type I.*

Suppose  $v_1, \dots, v_6$  are all the 2-neighbors of  $v$ ,  $u_i$  is the neighbor of  $v_i$  ( $i = 1, \dots, 6$ ) other than  $v$ . Suppose to the contrary that  $v$  has five 2-neighbors of type I. Let  $v_6$  be the unique neighbor of  $v$  that is not of type I. For  $i = 1, \dots, 5$ , let  $w_i$  and  $z_i$  be the two neighbors of  $u_i$  other than  $v_i$ . By the choice of  $G$ ,  $H = G - v_1$  has a linear coloring  $c$  using colors from  $C$ . If  $c(v) = c(u_1)$ , then we could choose a color from  $C \setminus (\{c(v)\} \cup D(v_1))$  to color  $v_1$ , yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume  $c(v) \neq c(u_1)$ , without loss of generality, assume that  $c(v) = 1$ ,  $c(u_1) = 2$ . If  $A = C \setminus (\{1, 2\} \cup C_2(u_1) \cup C_2(v)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_1$ . So  $A = \emptyset$ . It follows that  $|C| = 5$  and  $C_2(u_1) \cup C_2(v) = \{3, 4, 5\}$ . Without loss of generality, we may assume that  $c(w_1) = c(z_1) = 3$ ,  $c(v_3) = c(v_4) = 4$ ,  $c(v_5) = 5$  and  $\{c(v_2), c(v_6)\} = \{5, \alpha\}$  where  $\alpha = 2$  or 3. If recoloring  $v_4$  with 2 or 3 yields a linear coloring of  $H$ , then we could color  $v_1$  with 4, yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction. So recoloring  $v_2$  with 2 or 3 yields a coloring of  $H$  that is not proper or proper but not linear. It follows that  $c(u_4) = 2$  and  $c(w_4) = c(z_4) = 3$  or  $c(u_4) = 3$  and  $c(w_4) = c(z_4) = 2$ . Similarly, for  $i = 3, 5$ ,  $c(u_i) = 2$  and  $c(w_i) = c(z_i) = 3$  or  $c(u_i) = 3$  and  $c(w_i) = c(z_i) = 2$ . Now we could recolor  $v_4, v$  with 1,  $\beta \in \{2, 3\} \setminus \{\alpha\}$  and then color  $v_1$  with 4, yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction proving Claim 2.6.

To complete our proof of this theorem, it suffices to derive a contradiction by a discharging procedure.

First, we define the *initial charge function*  $\mu$  on  $V = V(G)$  by letting  $\mu(v) = d(v) - \frac{14}{5}$  for every  $v \in V$ . Since  $\text{mad}(G) < \frac{14}{5}$ , the sum of the initial charge is negative. Note that only 2-vertices have negative charge. If we can make suitable discharging rules to redistribute charges among vertices so that the *final charge*  $\mu'(v)$  of every vertex  $v \in V$  is nonnegative, then we get a contradiction completing the proof.

The needed discharging rules are as follows.

- R1. Every 2-neighbor of type I, type II, type III of a  $5^+$ -vertex  $v$  gets  $\frac{11}{20}, \frac{1}{2}, \frac{2}{5}$  from  $v$ , respectively.
- R2. Every 2-neighbor of a 3-, 4-vertex  $v$  gets  $\frac{1}{4}, \frac{3}{10}$  from  $v$ , respectively.
- R3. Every non-good 3-neighbor of a  $4^+$ -vertex  $v$  gets  $\frac{1}{20}$  from  $v$ .

Now we are going to show that  $\mu'(v) \geq 0$  for all  $v \in V$ .

Let  $v$  be a 2-vertex. Suppose the neighbors of  $v$  are  $x$  and  $y$  with  $d(x) \leq d(y)$ . If  $d(x) = 3$  or  $d(x) = 4$ , then  $d(y) \geq 5$  by Claim 2.1. By R1 and R2,  $\mu'(v) \geq -\frac{4}{5} + \frac{11}{20} + \frac{1}{4} = 0$  or  $\mu'(v) \geq -\frac{4}{5} + \frac{1}{2} + \frac{3}{10} = 0$ . Otherwise,  $d(x) \geq 5$  and  $d(y) \geq 5$ , we have  $\mu'(v) \geq -\frac{4}{5} + 2 \times \frac{2}{5} = 0$  by R1.

Let  $v$  be a 3-vertex. By Claim 2.2,  $v$  has at most one 2-neighbor. If  $v$  has no 2-neighbor, then  $\mu'(v) = \mu(v) = \frac{1}{5}$ . So we may assume that  $v$  has exactly one 2-neighbor  $x$ , i.e.,  $v$  is non-good. By Claim 2.3, at least one of the other two neighbors of  $v$  is a  $4^+$ -vertex. By R2 and R3,  $\mu'(v) \geq \frac{1}{5} - \frac{1}{4} + \frac{1}{20} = 0$ .

Let  $v$  be a 4-vertex. By R2,  $\mu'(v) \geq \frac{6}{5} - 4 \times \frac{3}{10} = 0$ .

Let  $v$  be a 5-vertex. If  $n_2(v) \leq 3$ , then  $\mu'(v) \geq \frac{11}{5} - 3 \times \frac{11}{20} - 2 \times \frac{1}{20} > 0$  by R1 and R3. Assume that  $n_2(v) \geq 4$ . First suppose  $n_2(v) = 4$ . If all the four 2-neighbors of  $v$  are of type I, then by Claim 2.4, the remaining neighbor of  $v$  is a  $4^+$ -vertex, hence  $\mu'(v) \geq \frac{11}{5} - 4 \times \frac{11}{20} - 0 = 0$  by R1. If at most three of the 2-neighbors are of type I, then  $\mu'(v) \geq \frac{11}{5} - 3 \times \frac{11}{20} - \frac{1}{2} - \frac{1}{20} = 0$  by R1 and R3. Next suppose  $n_2(v) = 5$ . By Claim 2.5,  $v$  has at least four 2-neighbors of type III, hence  $\mu'(v) \geq \frac{11}{5} - \frac{11}{20} - 4 \times \frac{2}{5} > 0$  by R1.

Let  $v$  be a 6-vertex. If  $n_2(v) \leq 5$ , then  $\mu'(v) \geq \frac{16}{5} - \frac{11}{20} \times 5 - \frac{1}{20} > 0$  by R1 and R3. If  $n_2(v) = 6$ , then  $v$  has at most four 2-neighbors of type I by Claim 2.6, hence  $\mu'(v) \geq \frac{16}{5} - 4 \times \frac{11}{20} - 2 \times \frac{1}{2} = 0$  by R1.

Finally let  $d(v) \geq 7$ . By R1,  $\mu'(v) \geq d(v) - \frac{14}{5} - \frac{11}{20} \times d(v) = \frac{9d(v)-56}{20} > 0$ .

### 3. Graphs with $\Delta \leq 4$ and $\text{mad}(G) < \frac{14}{5}$

It is trivial that  $\text{lc}(G) \leq \left\lceil \frac{\Delta}{2} \right\rceil + 2$  when  $\Delta = \Delta(G) \leq 2$ . By Theorem 2.1, to complete the proof of Theorem 1, we only need to prove the following.

**Theorem 3.1.** *If  $G$  is a graph with  $3 \leq \Delta(G) \leq 4$  and  $\text{mad}(G) < \frac{14}{5}$ , then  $\text{lc}(G) \leq \left\lceil \frac{\Delta(G)}{2} \right\rceil + 2 = 4$ .*

**Proof.** Suppose  $G$  is a counterexample to Theorem 3.1 with the fewest vertices, i.e.,  $G$  is a graph such that  $3 \leq \Delta(G) \leq 4$ ,  $\text{mad}(G) < \frac{14}{5}$ ,  $\text{lc}(G) > 4$ , but for any proper subgraph  $H$  of  $G$ ,  $\text{lc}(H) \leq 4$ . As noted before,  $G$  is connected and has neither 1-vertex nor adjacent 2-vertices.  $\square$

**Claim 3.1.** *Every 3-vertex has at most one 2-neighbor in  $G$ ; see [2].*

An  $(\alpha, \beta)$ -bicolored cycle (path) is a cycle (path) consisting of vertices that are colored using colors  $\alpha$  and  $\beta$  alternatively.

**Claim 3.2.** *There is no path  $P = x_1x_2x_3x_4x_5x_6$  such that  $d(x_2) = d(x_5) = 2$ ,  $d(x_3) = d(x_4) = 3$ , and  $x_3$  has a 3-neighbor other than  $x_2$  and  $x_4$  in  $G$ .*

Suppose  $G$  contains such a path. Let  $y_1, y_2, y_3$  be the neighbors of  $x_6$  other than  $x_5$ ,  $y_4, y_5, y_6$  the neighbors of  $x_1$  other than  $x_2$ ,  $y_7$  the neighbor of  $x_3$  other than  $x_2$  and  $x_4$ ,  $y_8$  the neighbor of  $x_4$  other than  $x_3$  and  $x_5$ ,  $z_1$  and  $z_2$  the neighbors of  $y_7$  other than  $x_3, z_3, z_4, z_5$  the neighbors of  $y_8$  other than  $x_4$ ; see Fig. 1(a). By the choice of  $G$ ,  $H = G - x_5$  has a linear coloring  $c$  using colors from  $C = \{1, 2, 3, 4\}$ . If  $c(x_4) = c(x_6)$ , then we could choose a color from  $C \setminus (\{c(x_4)\} \cup D(x_5))$  to color  $x_5$ , yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume that  $c(x_4) = 1$  and  $c(x_6) = 2$ . If  $A = C \setminus (\{1, 2\} \cup C_2(x_6) \cup C_2(x_4)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $x_5$ , yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction. Otherwise,  $A = \emptyset$ , i.e.,  $C_2(x_6) \cup C_2(x_4) = \{3, 4\}$ . Without loss of generality, we may assume that  $c(x_3) = c(y_8) = 3$ ,  $c(y_1) = c(y_2) = 4$ . If there is a color  $\alpha$  that belongs to  $\{2, 4\}$  and appears at most once in  $\{c(x_2), c(y_7), c(z_3), c(z_4), c(z_5)\}$ , then we could recolor  $x_4$  with  $\alpha$  and color  $x_5$  with 1. Otherwise, each of 2 and 4 appears at least twice in  $\{c(x_2), c(y_7), c(z_3), c(z_4), c(z_5)\}$ . There are two cases under consideration.

- (1)  $\{c(x_2), c(y_7)\} = \{2, 4\}$ .

First observe that  $2, 4 \in \{c(z_3), c(z_4), c(z_5)\}$ . Without loss of generality, we may assume that  $c(z_3) = 2$  and  $c(z_4) = 4$ . There are two subcases (a)  $c(x_2) = 2, c(y_7) = 4$ , (b)  $c(x_2) = 4, c(y_7) = 2$  under consideration. Since the proofs for (a) and (b) are rather similar, we only prove (a) as follows.

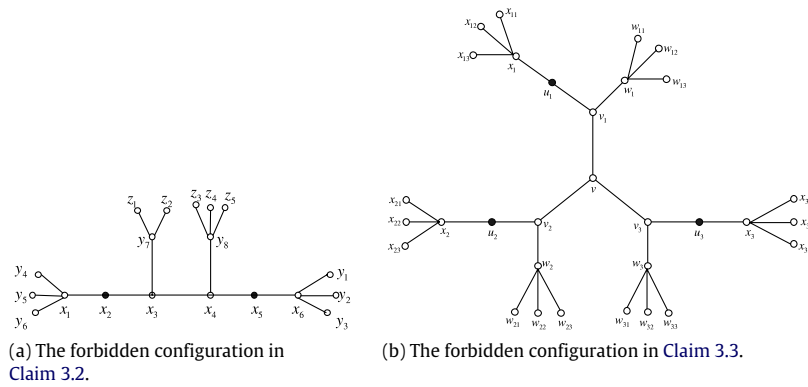


Fig. 1. The forbidden configurations in Claims 3.2 and 3.3.

We first suppose  $c(z_5) \neq 2$ . If recoloring  $x_4$  with 2 produces no  $(2, 3)$ -bicolored cycle, then we could color  $x_5$  with 1, yielding a linear coloring of  $G$  using colors from  $C$ . Otherwise, recoloring  $x_4$  with 2 produces a  $(2, 3)$ -bicolored cycle. It follows that  $c(x_1) = 3$ , and exactly one of  $c(y_4), c(y_5), c(y_6)$  is 2. Observe that there is a color  $\beta \in \{1, 4\}$  that appears at most once in  $\{c(y_4), c(y_5), c(y_6), c(y_7)\} \setminus \{2\}$ . Now we could recolor  $x_2, x_4$  with  $\beta, 2$ , respectively, and then color  $x_5$  with 1, yielding a linear coloring of  $G$  using colors from  $C$ .

We next suppose  $c(z_5) = 2$ . If recoloring  $x_4$  with 4 produces no  $(4, 3)$ -bicolored cycle, then we could color  $x_5$  with 1. Otherwise, recoloring  $x_4$  with 4 produces a  $(4, 3)$ -bicolored cycle, hence  $3 \in \{c(z_1), c(z_2)\}$ . Since  $c$  is a linear coloring of  $H$ , exactly one of  $c(z_1), c(z_2)$  is 3. Now we could recolor  $x_3, x_4$  with 1, 4, respectively, and then color  $x_5$  with 1, yielding a linear coloring of  $G$  using colors from  $C$ .

(2)  $c(x_2) = c(y_7)$ .

There are two subcases (a)  $c(x_2) = 2$ , (b)  $c(x_2) = 4$  under consideration. Since the proofs for (a) and (b) are rather similar, we only prove (a) as follows.

Clearly 4 appears exactly twice in  $\{c(z_3), c(z_4), c(z_5)\}$ . If  $4 \notin \{c(z_1), c(z_2)\}$ , then we could recolor  $x_3$  with 4 and color  $x_5$  with 3. So we may assume that  $4 \in \{c(z_1), c(z_2)\}$ .

We first suppose  $(c(z_1), c(z_2)) \neq (4, 4)$ . If recoloring  $x_3$  with 4 produces no  $(4, 2)$ -bicolored cycle, then we could recolor  $x_3$  with 4 and then color  $x_5$  with 3. Otherwise, that recoloring  $x_3$  with 4 produces a  $(4, 2)$ -bicolored cycle implies  $c(x_1) = 4$ . Observe that there is a color  $\gamma \in \{1, 3\}$  that appears at most once in  $\{c(y_4), c(y_5), c(y_6)\}$ . Now we could recolor  $x_2, x_3$  with  $\gamma, 4$ , respectively, and then color  $x_5$  with 3, yielding a linear coloring of  $G$  using colors from  $C$ .

We next suppose  $(c(z_1), c(z_2)) = (4, 4)$ . Observe that  $c(x_1) \in \{1, 3, 4\}$  (since  $c(x_2) = 2$ ). If  $c(x_1) = 1$ , then there is a color  $\alpha \in \{3, 4\}$  that appears at most once in  $\{c(y_4), c(y_5), c(y_6)\}$ , hence we could recolor  $x_2, x_3, x_4$  with  $\alpha, 1, 2$ , respectively, and then color  $x_5$  with 1. If  $c(x_1) = 3$ , then there is a color  $\beta \in \{1, 4\}$  that appears at most once in  $\{c(y_4), c(y_5), c(y_6)\}$ , hence we could recolor  $x_2, x_4$  with  $\beta, 2$ , respectively, and then color  $x_5$  with 1. Finally consider  $c(x_1) = 4$ . If 3 appears at most once in  $\{c(y_4), c(y_5), c(y_6)\}$ , then we could recolor  $x_2, x_3, x_4$  with 3, 1, 2, respectively, and then color  $x_5$  with 1. If 3 appears (exactly) twice in  $\{c(y_4), c(y_5), c(y_6)\}$ , then we could recolor  $x_2, x_4$  with 1, 2, respectively, and then color  $x_5$  with 1, yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction proving Claim 3.2.

Call a 3-vertex *good* if it has no 2-neighbor; *non-good* otherwise. Observe that a non-good vertex is a 3-vertex with exactly one 2-neighbor by Claim 3.1.

Let  $v$  be a non-good vertex with two  $3^+$ -neighbors  $v_1$  and  $v_2$ . Call  $v$  *worst*, *worse*, *bad* if  $(d(v_1), d(v_2)) = (3, 3), (3, 4), (4, 4)$ , respectively.

**Claim 3.3.** *There is no 3-vertex  $v$  that has three non-good 3-neighbors in  $G$ .*

Suppose to the contrary that a 3-vertex  $v$  has three non-good 3-neighbors, say  $v_1, v_2$  and  $v_3$ . For  $i = 1, 2, 3$ , let  $u_i$  be the unique 2-neighbor of  $v_i$ ;  $w_i$  the neighbor of  $v_i$  other than  $v$  and  $u_i$ ;  $w_{i1}, w_{i2}$  and  $w_{i3}$  the neighbor of  $w_i$  other than  $v_i$ ;  $x_i$  the neighbor of  $u_i$  other than  $v_i$ ; and  $x_{i1}, x_{i2}$  and  $x_{i3}$  the neighbor of  $x_i$  other than  $u_i$ ; see Fig. 1(b). By the choice of  $G, H = G - v$  has a linear coloring  $c$  using colors from  $C$ . There are three possible cases under consideration: (1)  $c(v_1), c(v_2)$  and  $c(v_3)$  are distinct; (2)  $c(v_1), c(v_2)$  and  $c(v_3)$  are identical; (3) exactly two of  $c(v_1), c(v_2)$  and  $c(v_3)$  are identical.

First suppose (1) happens. We show that either we can directly obtain a linear coloring of  $G$  using colors from  $C$ , or we can manage to lead (1) to (3). Without loss of generality, we may assume that  $c(v_i) = i, i = 1, 2, 3$ . If coloring  $v$  with 4 produces a linear coloring of  $G$ , then we are done. Otherwise, at least one pair of  $(c(u_1), c(w_1)), (c(u_2), c(w_2))$  and  $(c(u_3), c(w_3))$  is  $(4, 4)$ . Without loss of generality, we may assume that  $(c(u_1), c(w_1)) = (4, 4)$ . Let  $\alpha$  be the color that belongs to  $\{2, 3\}$  and appears at most once in  $\{c(w_{11}), c(w_{12}), c(w_{13})\}$ . We first recolor  $v_1$  with  $\alpha$ . If this produces no  $(4, \alpha)$ -bicolored cycle, then we lead (1) to (3); otherwise, this produces a  $(4, \alpha)$ -bicolored cycle, but then recoloring  $u_1$  with  $\beta$  leads (1) to (3), where  $\beta$  is the color that belongs to  $C \setminus \{4, \alpha\}$  and appears at most once in  $\{c(x_{11}), c(x_{12}), c(x_{13})\}$ .

Next suppose (2) happens. We show that (2) can also be led to (3). Suppose  $c(v_1) = c(v_2) = c(v_3)$ . Without loss of generality, we may assume  $c(v_1) = 1$ . If we recolor  $v_1$  with one of 2, 3, 4, yielding a linear coloring of  $H$ , then we leads



(2) to (3). Otherwise, we have, without loss of generality, either (a)  $c(u_1) = 2$ ,  $c(w_1) = 3$ , and 4 appears exactly twice in  $\{c(w_{11}), c(w_{12}), c(w_{13})\}$ , or (b)  $c(u_1) = c(w_1) = 2$ , 3 appears exactly twice in  $\{c(w_{11}), c(w_{12}), c(w_{13})\}$ , 4 appears exactly once in  $\{c(w_{11}), c(w_{12}), c(w_{13})\}$ , and there exists a (2, 4)-bicolored path in  $H$  connecting  $u_1$  and  $w_1$ . If (a) happens, then we recolor  $v_1, u_1$  with 2,  $\alpha$ , respectively, where  $\alpha$  is the color that belongs to  $\{1, 3, 4\} \setminus \{c(x_1)\}$  and appears at most once in  $\{c(x_{11}), c(x_{12}), c(x_{13})\}$ , leading (2) to (3). If (b) happens, then we recolor  $u_1, v_1$  with  $\beta, 4$ , respectively, where  $\beta$  is the color that belongs to  $\{1, 3\}$  and appears at most once in  $\{c(x_{11}), c(x_{12}), c(x_{13})\}$ , leading (2) to (3).

Finally suppose (3) happens. Without loss of generality, we may assume that  $c(v_1) = 2$  and  $c(v_2) = c(v_3) = 1$ . Observe that, in this case, 3 and 4 are symmetric. There are two cases under consideration: (3.1)  $(c(u_1), c(w_1))$  is neither (3, 3) nor (4, 4); (3.2)  $(c(u_1), c(w_1))$  is either (3, 3) or (4, 4).

(3.1) Note that, by symmetry of colors 3 and 4, we only need to consider three subcases: (3.1.1)  $\{c(u_1), c(w_1)\} = \{1, 1\}$ ; (3.1.2)  $\{c(u_1), c(w_1)\} = \{3, 1\}$ ; (3.1.3)  $\{c(u_1), c(w_1)\} = \{3, 4\}$ . We first claim that  $\{c(w_2), c(u_2), c(w_3), c(u_3)\} = \{3, 3, 4, 4\}$ . If  $\{c(w_2), c(u_2), c(w_3), c(u_3)\} \neq \{3, 3, 4, 4\}$ , without loss of generality, assuming that 3 appears at most once in  $\{c(w_2), c(u_2), c(w_3), c(u_3)\}$ , we could directly color  $v$  with 3, proving our claim.

First suppose that, without loss of generality,  $c(u_2) = c(w_2) = 3$ ,  $c(u_3) = c(w_3) = 4$ . If we could recolor  $u_3$  with 2 or 3, producing a linear coloring of  $H$ , then we could color  $v$  with 4, we are done. Otherwise, either  $c(x_3) = 2$  and 3 appears twice in  $\{c(x_{31}), c(x_{32}), c(x_{33})\}$  or  $c(x_3) = 3$  and 2 appears twice in  $\{c(x_{31}), c(x_{32}), c(x_{33})\}$ . In this case, we first recolor  $u_3$  with 1 and then recolor  $v_3$  with a color  $\gamma$  that belongs to  $\{2, 3\}$  and appears at most once in  $\{c(w_{31}), c(w_{32}), c(w_{33})\}$ . If coloring  $v$  with 4 produces no (4,  $\gamma$ )-bicolored cycle, then we are done. Otherwise,  $\gamma = 2$  and a (4, 2)-bicolored cycle  $C^*$  is produced. If  $u_1 \in C^*$ , then  $c(u_1) = 4$ ,  $c(x_1) = 2$  and 4 appears exactly once in  $\{c(x_{11}), c(x_{12}), c(x_{13})\}$ . At present we could further recolor  $u_1$  with a color  $\delta$  that belongs to  $\{1, 3\}$  and appears at most once in  $\{c(x_{11}), c(x_{12}), c(x_{13}), c(w_1)\} \setminus \{4\}$ , yielding a linear coloring of  $G$ . If  $w_1 \in C^*$ , we repeat the argument above starting from  $u_2$ , yielding the desired conclusion.

Next suppose that  $c(u_2) \neq c(w_2)$ . Assume that  $c(u_2) = 3$ ,  $c(w_2) = 4$ . If coloring  $v$  with 3 produces no (1, 3)-bicolored cycle, then we are done. Otherwise,  $c(x_2) = 1$  and 3 appears exactly once in  $\{c(x_{21}), c(x_{22}), c(x_{23})\}$ . Now we could further recolor  $u_2$  with a color  $\alpha$  that belongs to  $\{2, 4\}$  and appears at most once in  $\{c(x_{21}), c(x_{22}), c(x_{23}), c(w_2)\} \setminus \{3\}$ , yielding a linear coloring of  $G$ . Similar argument can prove the case  $c(u_2) = 4$ ,  $c(w_2) = 3$ .

(3.2) Without loss of generality, we may assume that  $(c(u_1), c(w_1)) = (3, 3)$ . If recoloring  $u_1$  with 1 or 4 produces a linear coloring of  $H$ , then we go back (3.1). Otherwise, either  $c(x_1) = 1$  and 4 appears exactly twice in  $\{c(x_{11}), c(x_{12}), c(x_{13})\}$  or  $c(x_1) = 4$  and 1 appears exactly twice in  $\{c(x_{11}), c(x_{12}), c(x_{13})\}$ . In this case, we first recolor  $u_1$  with 2, then recolor  $v_1$  with a color  $\beta$  that belongs to  $\{1, 4\}$  and appears at most once in  $\{c(w_{11}), c(w_{12}), c(w_{13})\}$ , obtaining a linear coloring of  $H$ . If  $\beta = 4$ , then we go back (3.1). So we may assume that  $\beta = 1$ .

**Observation 1.** Now  $H$  has two linear colorings  $c$  and  $c'$ , which are the same except on  $u_1$  and  $v_1$ . More precisely,  $c(u) = c'(u)$ ,  $u \in V(H) \setminus \{u_1, v_1\}$ ,  $(c(u_1), c(v_1)) = (3, 2)$  and  $(c'(u_1), c'(v_1)) = (2, 1)$ .

If  $c(u_2) = c(w_2)$ , then arguing as before **Observation 1** can yield a linear coloring  $c''$  of  $H$ , which is different from  $c'$  only on  $u_2$  and  $v_2$ ,  $c''(v_2) \neq 1$ , and  $c''(u_2) \neq c''(w_2)$ , hence go back (3.1). So we may assume that  $c(u_2) \neq c(w_2)$ . Similarly  $c(u_3) \neq c(w_3)$ .

If 4 appears at most once in  $\{c(u_2), c(w_2), c(u_3), c(w_3)\}$ , then we could color  $v$  with 4, yielding a linear coloring of  $G$ . So we may assume that 4 appears exactly twice in  $\{c(u_2), c(w_2), c(u_3), c(w_3)\}$  by the last paragraph. Suppose  $c(u_2) = 4$ . We color  $v$  with 4. If this produces no (4, 1)-bicolored cycle, then we are done. Otherwise, this produces a (4, 1)-bicolored cycle, implying that  $c(x_2) = 1$  and 4 appears exactly once in  $\{c(x_{21}), c(x_{22}), c(x_{23})\}$ . Now we could recolor  $u_2$  with a color  $\alpha$  that belongs to  $\{2, 3\}$  and appears at most once in  $\{c(x_{21}), c(x_{22}), c(x_{23}), c(w_2)\} \setminus \{4\}$ , destroying the (4, 1)-bicolored cycle, hence giving a linear coloring of  $G$ . Similarly  $c(u_3) \neq 4$ . To conclude,  $c(w_2) = c(w_3) = 4$ .

Now, let  $c(u_2) = \alpha$ . Clearly,  $\alpha = 2$  or 3. If, based on  $c'$ , recoloring  $v_2$  with the color  $\beta \in \{2, 3\} \setminus \{\alpha\}$  yields a new linear coloring of  $H$ , then we go back (3.1). Otherwise,  $\beta$  appears twice in  $\{c(w_{21}), c(w_{22}), c(w_{23})\}$ . Now we recolor  $v_2$  with  $\alpha$ . If we could further recolor  $u_2$  with 1 or  $\beta$ , produces a linear coloring of  $H$ , then we go back (3.1) (based on  $c'$ ). Otherwise,  $c(x_2) = 1$  and  $\beta$  appears twice in  $\{c(x_{21}), c(x_{22}), c(x_{23})\}$  or  $c(x_2) = \beta$  and 1 appears twice in  $\{c(x_{21}), c(x_{22}), c(x_{23})\}$ . Now, based on  $c'$ , we recolor  $u_2$  with 4, and then color  $v$  with  $\beta$ . If this produces no  $(\beta, 1)$ -bicolored cycle, then we are done. Otherwise, this produces a  $(\beta, 1)$ -bicolored cycle  $C_2$  that pass through  $u_3$ , implying  $c(u_3) = \beta$ ,  $c(x_3) = 1$  and  $\beta$  appears exactly once in  $\{c(x_{31}), c(x_{32}), c(x_{33})\}$ . Now we could recolor  $u_3$  with a color that belongs to  $\{\alpha, 4\}$  and appears at most once in  $\{c(x_{31}), c(x_{32}), c(x_{33}), c(w_3)\} \setminus \{\beta\}$ , destroying  $C_2$ , hence yielding a linear coloring of  $G$ . This proves **Claim 3.3**.

**Claim 3.4.** There is no 4-vertex  $v$  that has four 2-neighbors in  $G$ .

Suppose to the contrary that  $v$  has four 2-neighbors, say  $v_1, v_2, v_3, v_4$ . For  $i = 1, \dots, 4$ , let  $u_i$  be the neighbor of  $v_i$  other than  $v$ , and  $x_i, y_i, z_i$  the neighbors of  $u_i$  other than  $v_i$ ; see **Fig. 2(a)**. By the choice of  $G$ ,  $H = G - v_1$  has a linear coloring  $c$  using colors from  $C$ . There are two cases under consideration.

(1)  $c(v) = c(u_1) = 1$ .

If  $A = C \setminus (\{1\} \cup D(v_1)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_1$ , yielding a linear coloring of  $G$  using colors from  $C$ , a contradiction. Otherwise,  $A = \emptyset$ , i.e.,  $D(v_1) = \{2, 3, 4\}$ . Observe that there are two possible cases: either  $\{c(x_1), c(y_1), c(z_1)\} = \{2, 3, 4\}$  or  $\{c(x_1), c(y_1), c(z_1)\} \neq \{2, 3, 4\}$ .

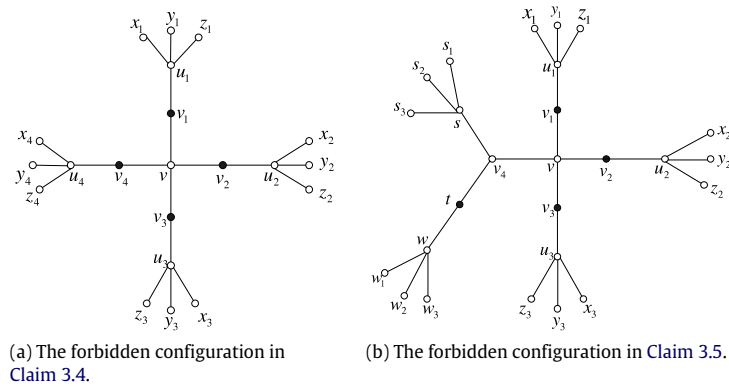


Fig. 2. The forbidden configurations in Claims 3.4 and 3.5.

We first assume that  $\{c(x_1), c(y_1), c(z_1)\} = \{2, 3, 4\}$ . In this case, we may assume that  $c(v_i) = i$ ,  $i = 2, 3, 4$ . If coloring  $v_1$  with 2 produces no  $(1, 2)$ -bicolored cycle, then we are done. So we may assume that coloring  $v_1$  with 2 produces a  $(1, 2)$ -bicolored cycle. It follows that  $c(u_2) = 1$ , and exactly one of  $c(x_2)$ ,  $c(y_2)$  and  $c(z_2)$  is 2. If 4 appears at most once in  $\{c(x_2), c(y_2), c(z_2)\}$ , then we could recolor  $v_2, v$  with 4, 2, respectively, and then color  $v_1$  with 3, yielding a linear coloring of  $G$  using colors from  $C$ . Otherwise,  $\{c(x_2), c(y_2), c(z_2)\} = \{2, 4, 4\}$ , then we could recolor  $v_2$  with 3 and then color  $v_1$  with 2, yielding a linear coloring of  $G$  using colors from  $C$ .

We next assume that  $\{c(x_1), c(y_1), c(z_1)\} \neq \{2, 3, 4\}$ . Without loss of generality, we may assume that  $c(x_1) = c(y_1) = 2$ ,  $c(z_1) = c(v_2) = 3$ ,  $c(v_3) = c(v_4) = 4$ . If coloring  $v_1$  with 3 produces no  $(1, 3)$ -bicolored cycle, then we are done. So we may assume that coloring  $v_1$  with 3 produces a  $(1, 3)$ -bicolored cycle, hence  $c(u_2) = 1$ . If recoloring  $v$  with 2 produces no  $(2, 4)$ -bicolored cycle, then we could further color  $v_1$  with 3, yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume that coloring  $v$  with 2 produces a  $(2, 4)$ -bicolored cycle. It follows that  $c(u_3) = 2$  and exactly one of  $c(x_3)$ ,  $c(y_3)$  and  $c(z_3)$  is 4. Observe that there is a color  $\beta \in \{1, 3\}$  that appears at most once in  $\{c(x_3), c(y_3), c(z_3)\}$ . Now we could recolor  $v_3, v$  with  $\beta, 2$ , respectively, and then color  $v_1$  with 4, yielding a linear coloring of  $G$  using colors from  $C$ .

(2)  $c(v) \neq c(u_1)$ .

Without loss of generality, we may assume that  $c(v) = 1$ ,  $c(u_1) = 2$ . If  $A = \{3, 4\} \setminus (C_2(u_1) \cup C_2(v)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_1$ , yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume that  $A = \emptyset$ , i.e.,  $C_2(u_1) \cup C_2(v) = \{3, 4\}$ . Without loss of generality, we may assume that  $c(x_1) = c(y_1) = 3$ ,  $c(v_2) = c(v_3) = 4$ , and  $c(v_4) = \alpha \in \{2, 3\}$ .

If recoloring  $v$  with a color  $\beta \in \{2, 3\} \setminus \{\alpha\}$  produces no  $(\beta, 4)$ -bicolored cycle, we could further color  $v_1$  with 1, yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume that recoloring  $v$  with  $\beta$  produces a  $(\beta, 4)$ -bicolored cycle, hence  $c(u_2) = \beta$  and exactly one of  $c(x_2)$ ,  $c(y_2)$  and  $c(z_2)$  is 4. Without loss of generality, assume that  $c(x_2) = 4$ ,  $c(y_2) = \gamma_1$ ,  $c(z_2) = \gamma_2$ . First suppose  $(\gamma_1, \gamma_2) = (1, 1)$ , then we could recolor  $v_2, v$  with  $\alpha, \beta$ , respectively, and then color  $v_1$  with 1, yielding a linear coloring of  $G$  using colors from  $C$ . Next suppose  $(\gamma_1, \gamma_2) \neq (1, 1)$ , then we could recolor  $v_2, v$  with 1,  $\beta$ , respectively, and then color  $v_1$  with 1 if  $\alpha = 2$ ; color  $v_1$  with 4 or 1 according to  $c(z_1) = 1$  or  $c(z_1) \neq 1$  if  $\alpha = 3$ , yielding a linear coloring of  $G$  using colors from  $C$ .

**Claim 3.5.** *There is no 4-vertex  $v$  that has three 2-neighbors and a non-good 3-neighbor in  $G$ .*

Suppose to the contrary that  $v$  has three 2-neighbors  $v_1, v_2, v_3$  and one 3-neighbor  $v_4$ . For  $i = 1, 2, 3$ , let  $u_i$  be the neighbor of  $v_i$  other than  $v$ ;  $x_i, y_i, z_i$  the neighbor of  $u_i$  other than  $v_i$ . Let  $s$  and  $t$  be the neighbors of  $v_4$  other than  $v$ , where  $d(t) = 2$ ;  $s_1, s_2, s_3$  the neighbors of  $s$  other than  $v_4$ ,  $w$  the neighbor of  $t$  other than  $v_4$ ;  $w_1, w_2, w_3$  the neighbors of  $w$  other than  $t$ ; see Fig. 2(b). By the choice of  $G$ ,  $H = G - v_2$  has a linear coloring  $c$  using colors from  $C$ . There are two cases under consideration.

(1)  $c(v) = c(u_2) = 1$ .

If  $A = C \setminus (\{1\} \cup D(v_2)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_2$ , yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume that  $A = \emptyset$ , i.e.,  $D(v_2) = \{2, 3, 4\}$ . Observe that there are two possible subcases: either  $\{c(x_2), c(y_2), c(z_2)\} = \{2, 3, 4\}$  or  $\{c(x_2), c(y_2), c(z_2)\} \neq \{2, 3, 4\}$ .

(1.1) We first assume that  $\{c(x_2), c(y_2), c(z_2)\} = \{2, 3, 4\}$ . In this case, we may assume that  $c(v_1) = 2$ ,  $c(v_3) = 3$ , and  $c(v_4) = 4$ . If coloring  $v_2$  with 4 produces no  $(1, 4)$ -bicolored cycle, then we are done. So we may assume that coloring  $v_2$  with 4 produces a  $(1, 4)$ -bicolored cycle. It follows that exactly one of  $c(s)$  and  $c(t)$  is 1. If coloring  $v_2$  with 2 produces no  $(1, 2)$ -bicolored cycle, then we are done. So we may assume that coloring  $v_2$  with 2 produces a  $(1, 2)$ -bicolored cycle. It follows that  $c(u_1) = 1$ , and exactly one of  $c(x_1)$ ,  $c(y_1)$  and  $c(z_1)$  is 2. Observe that there is a color  $\alpha \in \{3, 4\}$  that appears at most once in  $\{c(x_1), c(y_1), c(z_1)\}$ . Now we could recolor  $v_1, v$  with  $\alpha, 2$ , respectively, and then color  $v_2$  with a color from  $\{3, 4\} \setminus \{\alpha\}$ , yielding a linear coloring of  $G$ .

(1.2) We next assume that  $\{c(x_2), c(y_2), c(z_2)\} \neq \{2, 3, 4\}$ . Without loss of generality, we may assume that  $c(x_2) = c(y_2) = 2$ ,  $c(z_2) = 3$ . Namely  $\{c(v_1), c(v_3), c(v_4)\} = \{3, 4, 4\}$ .

(1.2.1) We first suppose that  $c(v_4) = 3, c(v_1) = c(v_3) = 4$ . If coloring  $v_2$  with 3 produces no (3, 1)-bicolored cycle, then we are done. So coloring  $v_2$  with 3 produces a (3, 1)-bicolored cycle. It follows that exactly one of  $c(s)$  and  $c(t)$  is 1. If recoloring  $v$  with 2 produces no (2, 4)-bicolored cycle, then we color  $v_2$  with 3, yielding a linear coloring of  $G$ . Otherwise, recoloring  $v$  with 2 produces a (2, 4)-bicolored cycle. It follows that  $c(u_3) = 2$  and exactly one of  $c(x_3), c(y_3)$  and  $c(z_3)$  is 4. If 1 appears at most once in  $\{c(x_3), c(y_3), c(z_3)\}$ , then we could recolor  $v_3, v$  with 1, 2, respectively, and then color  $v_2$  with 3. Otherwise,  $\{c(x_3), c(y_3), c(z_3)\} = \{1, 1, 4\}$ , then we could recolor  $v_3, v$  with 3, 2, respectively, and then color  $v_2$  with 4.

(1.2.2) We next suppose that  $c(v_3) = 3, c(v_4) = c(v_1) = 4$ . If coloring  $v_2$  with 3 produces no (3, 1)-bicolored cycle, then we are done. So coloring  $v_2$  with 3 produces a (3, 1)-bicolored cycle. It follows that  $c(u_3) = 1$  and exactly one of  $c(x_3), c(y_3)$  and  $c(z_3)$  is 3.

(1.2.2.1) Color 2 appears at most once in  $\{c(s), c(t)\}$ .

If recoloring  $v$  with 2 produces no (2, 4)-bicolored cycle, then we color  $v_2$  with 3, yielding a linear coloring of  $G$ . Otherwise, recoloring  $v$  with 2 produces a (2, 4)-bicolored cycle. It follows that  $c(u_1) = 2$  and exactly one of  $c(x_1), c(y_1)$  and  $c(z_1)$  is 4. Observe that there is a color  $\beta \in \{1, 3\}$  that appears at most once in  $\{c(x_1), c(y_1), c(z_1)\}$ . Now we could recolor  $v_1, v$  with  $\beta, 2$ , respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ .

(1.2.2.2)  $c(s) = c(t) = 2$ .

(a)  $c(w) \in \{1, 3\}$ . In this case,  $\gamma \in \{1, 3\} \setminus \{c(w)\}$  appears exactly twice in  $\{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with  $\gamma$ , going back (1.2.2.1). Now if 3 appears at most once in  $\{c(s_1), c(s_2), c(s_3)\}$ , then we could recolor  $t, v_4$  with 4, 3, respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ . Otherwise, 3 appears twice in  $\{c(s_1), c(s_2), c(s_3)\}$ , then we could recolor  $t, v_4, v$  with 4, 1, 2, respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ .

(b)  $c(w) = 4$ . First 3 appears twice in  $\{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with 3, going back (1.2.2.1). Next 1  $\in \{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with 1, going back (1.2.2.1). Thus  $\{c(w_1), c(w_2), c(w_3)\} = \{1, 3, 3\}$ . Now if recoloring  $t$  with 1 produces no (1, 4)-bicolored cycle, then we go back (1.2.2.1). Otherwise, recoloring  $t$  with 1 produces a (1, 4)-bicolored cycle. It follows that  $c(u_1) = 1$  and exactly one of  $c(x_1), c(y_1), c(z_1)$  is 4. In this case, if 2 appears at most once in  $\{c(x_1), c(y_1), c(z_1)\}$ , then we can recolor  $t, v_1$  with 1, 2, respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ . Otherwise,  $\{c(w_1), c(w_2), c(w_3)\} = \{4, 2, 2\}$ , then we can recolor  $t, v_1$  with 1, 3, respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ .

(2)  $c(v) \neq c(u_1)$ .

Without loss of generality, we may assume that  $c(v) = 1$  and  $c(u_2) = 2$ . If  $A = \{3, 4\} \setminus (C_2(u_2) \cup C_2(v)) \neq \emptyset$ , then we could choose a color from  $A$  to color  $v_2$ , yielding a linear coloring of  $G$  using colors from  $C$ . So we may assume that  $A = \emptyset$ , i.e.,  $C_2(u_2) \cup C_2(v) = \{3, 4\}$ . Without loss of generality, we may assume that  $c(x_2) = c(y_2) = 3$ . There are two subcases under consideration.

(2.1)  $c(v_3) = c(v_4) = 4$ .

Clearly  $c(v_1) = \alpha \in \{2, 3\}$ . Let  $\beta = \{2, 3\} \setminus \{\alpha\}$ .

(2.1.1) Color  $\beta$  appears at most once in  $\{c(s), c(t)\}$ . If recoloring  $v$  with  $\beta$  produces no  $(\beta, 4)$ -bicolored cycle, then we can color  $v_2$  with 1, yielding a linear coloring of  $G$ . Otherwise, recoloring  $v$  with  $\beta$  produces a  $(\beta, 4)$ -bicolored cycle. It follows that  $c(u_3) = \beta$  and exactly one of  $c(x_3), c(y_3)$  and  $c(z_3)$  is 4. If 1 appears at most once in  $\{c(x_3), c(y_3), c(z_3)\}$ , then we can recolor  $v_3, v$  with 1,  $\beta$ , respectively, and then color  $v_2$  with 1 if  $\alpha = 2$ ; color  $v_2$  with 4 or 1 according to  $c(z_2) = 1$  or  $c(z_2) \neq 1$  if  $\alpha = 3$ , yielding a linear coloring of  $G$ . Otherwise,  $\{c(x_3), c(y_3), c(z_3)\} = \{1, 1, 4\}$ , then we can recolor  $v_3, v$  with  $\alpha, \beta$ , respectively, and then color  $v_2$  with 1, yielding a linear coloring of  $G$ .

(2.1.2)  $c(s) = c(t) = \beta$ .

(a)  $c(w) \in \{1, \alpha\}$ . In this case,  $\gamma \in \{1, \alpha\} \setminus \{c(w)\}$  appears twice in  $\{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with  $\gamma$ , going back (2.1.1). Now if  $\alpha$  appears at most once in  $\{c(s_1), c(s_2), c(s_3)\}$ , then we could recolor  $t, v_4$  with 4,  $\alpha$ , respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ . Otherwise,  $\alpha$  appears twice in  $\{c(s_1), c(s_2), c(s_3)\}$ , then we could recolor  $t, v_4, v$  with 4, 1,  $\beta$ , respectively, and then color  $v_2$  with 1 if  $\alpha = 2$ ; color  $v_2$  with 4 or 1 according to  $c(z_2) = 1$  or  $c(z_2) \neq 1$  if  $\alpha = 3$ , yielding a linear coloring of  $G$ .

(b)  $c(w) = 4$ . First  $\alpha$  appears twice in  $\{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with  $\alpha$ , going back (2.1.1). Next 1  $\in \{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with 1, going back (2.1.1). Thus  $\{c(w_1), c(w_2), c(w_3)\} = \{1, \alpha, \alpha\}$ . Now if recoloring  $t$  with 1 produces no (1, 4)-bicolored cycle, then we go back (2.1.1). Otherwise, recoloring  $t$  with 1 produces a (1, 4)-bicolored cycle. It follows that  $c(u_3) = 1$  and exactly one of  $c(x_3), c(y_3)$  and  $c(z_3)$  is 4. In this case, if  $\beta$  appears at most once in  $\{c(x_3), c(y_3), c(z_3)\}$ , then we can recolor  $t, v_3$  with 1,  $\beta$ , respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ . Otherwise,  $\{c(w_1), c(w_2), c(w_3)\} = \{4, \beta, \beta\}$ , then we can recolor  $t, v_3$  with 1,  $\alpha$ , respectively, and then color  $v_2$  with 4, yielding a linear coloring of  $G$ .

(2.2)  $c(v_3) = c(v_1) = 4$ .

Clearly  $c(v_4) = \alpha \in \{2, 3\}$ . Let  $\beta \in \{2, 3\} \setminus \{\alpha\}$ .

(2.2.1) Color  $\beta$  appears at most once in  $\{c(s), c(t)\}$ . If recoloring  $v$  with  $\beta$  produces no  $(4, \beta)$ -bicolored cycle, then we could color  $v_2$  with 1. Otherwise, recoloring  $v$  with  $\beta$  produces a  $(4, \beta)$ -bicolored cycle. It follows that  $c(u_3) = \beta$  and exactly one of  $c(x_3), c(y_3)$  and  $c(z_3)$  is 4. If 1 appears at most once in  $\{c(x_3), c(y_3), c(z_3)\}$ , then we recolor  $v_3, v$  with 1,  $\beta$ , respectively, and then we color  $v_2$  with 1 if  $\alpha = 2$ ; color  $v_2$  with 4 or 1 according to  $c(z_2) = 1$  or  $c(z_2) \neq 1$  if  $\alpha = 3$ , yielding a linear coloring of  $G$ . Otherwise,  $\{c(x_3), c(y_3), c(z_3)\} = \{1, 1, 4\}$ . Now we can recolor  $v_3, v$  with  $\alpha, \beta$ , respectively, and then color  $v_2$  with 1, yielding a linear coloring of  $G$ .



(2.2.2)  $c(s) = c(t) = \beta$ .

(a)  $c(w) = \alpha$ . In this case, color 4 appears twice in  $\{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with 4, going back (2.2.1). Now we can recolor  $t$  with 1, going back (2.2.1).

(b)  $c(w) \in \{1, 4\}$ . Observe that  $\gamma \in \{1, 4\} \setminus \{c(w)\}$  appears twice in  $\{c(w_1), c(w_2), c(w_3)\}$  since otherwise we could recolor  $t$  with  $\gamma$ , going back (2.2.1). In what follows, we try to recolor  $t$ ,  $v_4$  and  $v$  so that we could color  $v_2$ , yielding a linear coloring of  $G$ . According to the frequency of 1 appearing in  $\{c(s_1), c(s_2), c(s_3)\}$ , we distinguish two possibilities as follows.

(b1) Color 1 appears at most once in  $\{c(s_1), c(s_2), c(s_3)\}$ . In this case, we first recolor  $t$ ,  $v_4$ ,  $v$  with  $\alpha$ , 1, 3, respectively. If this produces no (3, 4)-bicolored cycle, then we can color  $v_2$  with 1, yielding a linear coloring of  $G$ . So we may assume that this produces a (3, 4)-bicolored cycle, hence  $c(u_3) = 3$  and exactly one of  $c(x_3)$ ,  $c(y_3)$  and  $c(z_3)$  is 4. Now if 2 appears at most once in  $\{c(x_3), c(y_3), c(z_3)\}$ , then we could recolor  $v_3$  with 2, and then color  $v_2$  with 1; otherwise,  $\{c(x_3), c(y_3), c(z_3)\} = \{2, 2, 4\}$ , we could recolor  $v_3$  with 1 and then color  $v_2$  with 4.

(b2) Color 1 appears twice in  $\{c(s_1), c(s_2), c(s_3)\}$ . In this case, we first recolor  $t$ ,  $v_4$  with  $\alpha$ , 4, respectively. If we can recolor  $v_3$  with 2 or 3, then we go back (2.1.1). Otherwise, it is easy to deduce that  $c(u_3) = 2$ , color 3 appears twice in  $\{c(x_3), c(y_3), c(z_3)\}$  or  $c(u_3) = 3$ , color 2 appears twice in  $\{c(x_3), c(y_3), c(z_3)\}$ . Now we recolor  $v_3$  with 1,  $v$  with 3. If this produces no (3, 4)-bicolored cycle, then we could color  $v_2$  with 1. Suppose this produces a (3, 4)-bicolored cycle, hence  $\alpha = 2$ ,  $c(u_1) = 3$  and exactly one of  $c(x_1)$ ,  $c(y_1)$  and  $c(z_1)$  is 4. If 2 appears at most once in  $\{c(x_1), c(y_1), c(z_1)\}$ , then we could further recolor  $v_1$  with 2 and then color  $v_2$  with 1. Otherwise,  $\{c(x_1), c(y_1), c(z_1)\} = \{2, 2, 4\}$ , then we can further recolor  $v_1$  with 1 and then color  $v_2$  with 4, yielding a linear coloring of  $G$ . Claim 3.5 is proved.

To complete our proof of this theorem, as in Section 2, we shall proceed a discharging procedure in  $G$ , yielding a contradiction. As before, the initial charge function  $\mu$  on  $V$  still is  $\mu(v) = d(v) - \frac{14}{5}$  for each vertex  $v \in V$ . Since the discharging procedures for  $\Delta = 3, 4$  are rather similar but the former is easier, we only handle the later in detail.

The needed discharging rules for  $\Delta = 4$  are as follows.

R1. Every 2-neighbor of a  $3^+$ -vertex  $v$  gets  $\frac{2}{5}$  from  $v$ .

R2. Every worst 3-neighbor of a good 3-vertex  $v$  gets  $\frac{1}{10}$  from  $v$ .

R3. Every worse 3-neighbor, bad 3-neighbor of a 4-vertex  $v$  gets  $\frac{1}{5}, \frac{1}{10}$  from  $v$ , respectively.

Now we are going to show that  $\mu'(v) \geq 0$  for all  $v \in V$ .

Let  $v$  be a 2-vertex. By R1,  $\mu'(v) \geq -\frac{4}{5} + 2 \times \frac{2}{5} = 0$ .

Let  $v$  be a 3-vertex. By R1 and R2,  $v$  only gives charge to its 2-neighbor and worst 3-neighbor.

Let  $x, y, z$  be the three neighbors of  $v$  with  $d(x) \leq d(y) \leq d(z)$ . By Claim 3.1, at most one of  $x, y, z$  has degree 2. First suppose none of  $x, y$  and  $z$  has degree 2. If at least one of  $x, y$  and  $z$  has degree 4, then  $\mu'(v) \geq \frac{1}{5} - \frac{1}{10} \times 2 = 0$  by R2. So we may assume that  $d(x) = d(y) = d(z) = 3$ . By Claim 3.3, at most two of  $x, y$  and  $z$  are non-good. We have  $\mu'(v) \geq \frac{1}{5} - \frac{1}{10} \times 2 = 0$  by R2. Next suppose exactly one of  $x, y$  and  $z$  has degree 2. Thus  $d(x) = 2, 3 \leq d(y) \leq d(z)$ . If  $(d(y), d(z)) = (4, 4)$ , i.e.,  $v$  is a bad 3-vertex, then  $\mu'(v) \geq \frac{1}{5} - \frac{2}{5} + 2 \times \frac{1}{10} = 0$  by R1 and R3. If  $(d(y), d(z)) = (3, 3)$ , i.e.,  $v$  is a worst 3-vertex, then both  $y$  and  $z$  are not adjacent to any 2-vertex by Claim 3.2, i.e., both  $y$  and  $z$  are good 3-vertices, hence  $\mu'(v) \geq \frac{1}{5} - \frac{2}{5} + 2 \times \frac{1}{10} = 0$  by R1 and R2. Finally suppose  $(d(y), d(z)) = (3, 4)$ , i.e.,  $v$  is a worse 3-vertex. Let  $y_1$  and  $y_2$  be the neighbors of  $y$  other than  $v$ . If one of  $y_1$  and  $y_2$  is a 2-vertex, then the other is a 4-vertex by Claim 3.2. So both  $y$  and  $v$  are worse 3-vertices, hence  $\mu'(v) \geq \frac{1}{5} - \frac{2}{5} + \frac{1}{5} + 0 = 0$  by R1 and R3; otherwise,  $d(y_1) \geq 3$  and  $d(y_2) \geq 3$ , i.e.,  $y$  is a good 3-vertex, hence  $\mu'(v) \geq \frac{1}{5} - \frac{2}{5} + \frac{1}{5} + 0 = 0$  by R1 and R3.

Let  $v$  be a 4-vertex. By Claim 3.4,  $v$  has at most three 2-neighbors, say  $v_1, v_2$  and  $v_3$ . Let  $x$  be the remaining neighbor of  $v$ . If  $d(x) = 4$ , then  $\mu'(v) \geq \frac{6}{5} - \frac{2}{5} \times 3 - 0 = 0$  by R1. If  $d(x) = 3$ , then the two neighbors of  $x$  other than  $v$  have degree at least 3 by Claim 3.5, i.e.,  $x$  is a good 3-vertex, hence  $\mu'(v) \geq \frac{6}{5} - \frac{2}{5} \times 3 - 0 = 0$  by R1 and R3.

As for the discharging procedure for  $\Delta = 3$ , we only need to use R1, R2 and Claims 3.1–3.3 (note that proofs of these three claims work well for  $\Delta = 3$ ).

## References

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